

OM ASSET MANAGEMENT PLC

Reported by RIORDAN AIDAN J

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 10/15/14 for the Period Ending 10/10/14

Telephone 617-369-7321

CIK 0001611702

Symbol OMAM

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|---|---|----------------|------------------|--------------------------|--|--|--|-----|---|------------------|--|--|---|------------------------|--|---|--|
| Riordan Aidan J | | | | | | OM Asset Management plc [OMAM] | | | | | | | | or | _ | 10% Ov | wner |
| (Last) | Last) (First) (Middle) | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | | X Officer (give title below) | | | | r (specify |
| 5TH FLOOR MILLENNIUM BRIDGE HOUSE, 2 LAMBETH | | | | | | 10/10/2014 | | | | | | | | d of Affil | iate Mng | mt | |
| HILL | CDE, 2 | 137 X 1V | IDETII | | | | | | | | | | | | | | |
| (Street) | | | | | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | |
| LONDON, X0 EC4V 4GG | | | | | | | | | | | | X _ Form filed by One Reporting Person | | | | | |
| (City) (State) (Zip) | | | | | | | | | | | Form filed by More than One Reporting Person | | | | | | |
| | | Tab | ole I - Non | -Deri | vative | Securi | ties Acq | ui | ired, Di | spo | sed of | , or E | Beneficially | y Owned | | | |
| | | | | 2. Ti | rans. | 2A. Deemed Execution Date, if | 3. Trans. Code (Instr. 8) | | 4. Securi Acquired Disposed (Instr. 3, | (A) of (| (A) or of (D) Fo | | nstr. 3 and 4) Form: Beneficia Direct (D) Ownershi | | | | |
| | | | | | any | | Code | V | Amount | (A) or (D) | Price | | | | | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Ordinary Shares, nominal value \$0.001 | | | | | /10/2014 | | J | | 86689 (1) | A | \$14.00 | | 86689 | | | D | |
| Tab | ole II - De | rivati | ive Securi | ties B | enefic | cially O | wned (e | .g. | . , puts | , cal | lls, wa | rrant | ts, options, | , convert | ible secur | ities) | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | Trans. Date | Deemed Execution | rans. ode nstr. 8) | 5. Number of Derivative Securities) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date | | | See De (In | Title and curities University of the crivative str. 3 and | Underly Securit d 4) | ng Derivativ Security (Instr. 5) | Derivative Security | of derivative Securities Beneficially Owned Following | Form of Derivative Security: Direct (D) or Indirect (I) (Instr. | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | C | Code V | (A) | (D) | Date Expiration Exercisable Date | | Tit | Amor | unt or Number of es | | | | | | |

Explanation of Responses:

(1) OM Group (UK) Limited transferred 86,689 ordinary shares of OM Asset Management plc (the "Company") to Mr. Riordan in exchange for a number of ordinary shares of Old Mutual plc held by Mr. Riordan of equal value pursuant to an employee exchange program. No cash was exchanged. The exchange program valued the Company's ordinary shares at the price sold to investors in the initial public offering. The exchange program valued Old Mutual plc's ordinary shares using the weighted-average sale price of Old Mutual plc's ordinary shares over the three consecutive trading days on the London Stock Exchange up to and including the date of the Company's initial public offering.

Reporting Owners

| Panarting Owner Name / Address | Relationships | | | | | | | | |
|--|---------------|-----------|-----------------------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | | |
| Riordan Aidan J 5TH FLOOR MILLENNIUM BRIDGE HOUSE | | | EVP/Head of Affiliate Mngm | t | | | | | |
| 2 LAMBETH HILL LONDON, X0 EC4V 4GG | | | 2 v 1 / 1 com v 1 / 1 miles | | | | | | |

Signatures

/s/ Molly S. Mugler, Attorney-in-fact

10/15/2014

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.