

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting P | erson *        | 2. Issuer Na              | me and T     | ickeı      | r or Trac | ling S        | ymbol                 | 5. Relationship of Reporting Perso (Check all applicable)    | n(s) to Iss               | uer                              |
|------------------------------------|----------------|---------------------------|--------------|------------|-----------|---------------|-----------------------|--|---------------------------|----------------------------------|
| Koum Jan                           |                | Facebook                  | Inc [ F      | <b>B</b> ] |           |               |                       |  |                           |                                  |
| (Last) (First) (M                  | Middle)        | 3. Date of E              | arliest Tra  | nsac       | ction (MN | A/DD/Y        | YYY)                  |  | 10% Owner                 | , balana)                        |
| C/O FACEBOOK, INC., 160            | M WILLOW       |                           | 7            | //11       | /2016     |               |                       | Officer (give title below)                                   | Other (specify            | y below)                         |
| C/O FACEBOOK, INC., 100<br>ROAD    | or willow      |                           | ,            | /11        | /2010     |               |                       |  |                           |                                  |
| (Street)                           |                | 4. If Amend               | ment, Dat    | e Or       | iginal Fi | iled (M       | IM/DD/YYYY)           | 6. Individual or Joint/Group Filing                          | (Check App                | licable Line)                    |
| MENLO PARK, CA 94025               |                |                           |              |            |           |               |                       | X Form filed by One Reporting Person                         |                           |                                  |
|                                    | Zip)           |                           |              |            |           |               |                       | Form filed by More than One Reporting                        | Person                    |                                  |
|                                    | Tabla I Nam I  | D <b>:</b> 4 <b>:</b> 6   | <b>:4:</b>   | <b>A</b>   |           | ··            | . J . £ D             | C II O 1   |                           |                                  |
| 1.Title of Security                | 2. Trans. Date | 1                         | 3. Trans. Co |            |           |               | uired (A) or          | neficially Owned  5. Amount of Securities Beneficially Owned | 6.                        | 7. Nature                        |
| (Instr. 3)                         |                | Execution<br>Date, if any | (Instr. 8)   |            |           |               |                       | Following Reported Transaction(s) (Instr. 3 and 4)           | Ownership<br>Form:        | of Indirect<br>Beneficial        |
|                                    |                |                           |              |            |           |               |                       |  | Direct (D)<br>or Indirect | Ownership<br>(Instr. 4)          |
|                                    |                |                           | Code         | v          | Amount    | (A) or<br>(D) | Price                 |  | (I) (Instr.<br>4)         |                                  |
|                                    |                |                           |              |            |           |               |                       |  |                           | By Jan<br>Koum,                  |
|                                    |                |                           |              |            | 12/1525   |               |                       |  |                           | Trustee of<br>The                |
| Class A Common Stock               | 7/11/2016      |                           | S (1)        |            | 1361535   | D             | \$118.1379 (2)        | 19202179   | I                         | Butterfly<br>Trust               |
|                                    |                |                           |              |            |           |               |                       |  |                           | U/A/D<br>1/20/2004               |
|                                    |                |                           |              |            |           |               |                       |  |                           | (3)<br>By Jan                    |
|                                    |                |                           |              |            |           |               |                       |  |                           | Koum,<br>Trustee of              |
| Class A Common Stock               | 7/11/2016      |                           | s (1)        |            | 72995     | D             | \$118.581 (4)         | 19129184   | I                         | The<br>Butterfly                 |
|                                    |                |                           |              |            |           |               |                       |  |                           | Trust<br>U/A/D                   |
|                                    |                |                           |              |            |           |               |                       |  |                           | 1/20/2004<br>(3)                 |
|                                    |                |                           |              |            |           |               |                       |  |                           | By Jan<br>Koum,                  |
|                                    |                |                           | (1)          |            |           |               |                       |  |                           | Trustee of<br>The                |
| Class A Common Stock               | 7/12/2016      |                           | S (1)        |            | 282706    | D             | \$117.9679 (5)        | 18846478   | I                         | Butterfly<br>Trust               |
|                                    |                |                           |              |            |           |               |                       |  |                           | U/A/D<br>1/20/2004               |
|                                    |                |                           |              |            |           |               |                       |  |                           | (3)<br>By Jan                    |
|                                    |                |                           |              |            |           |               |                       |  |                           | Koum,<br>Trustee of              |
| Class A Common Stock               | 7/12/2016      |                           | S (1)        |            | 4200      | D             | \$118.6065 <u>(6)</u> | 18842278   | I                         | The<br>Butterfly                 |
|                                    |                |                           |              |            |           |               |                       |  |                           | Trust<br>U/A/D                   |
|                                    |                |                           |              |            |           |               |                       |  |                           | 1/20/2004<br>(3)                 |
|                                    |                |                           |              |            |           |               |                       |  |                           | By Jan<br>Koum and               |
|                                    |                |                           |              |            |           |               |                       |  |                           | BNY<br>Mellon                    |
|                                    |                |                           |              |            |           |               |                       |  |                           | Trust of<br>Delaware             |
| Class A Common Stock               |                |                           |              |            |           |               |                       | 3500000  | I                         | Co-<br>Trustees                  |
|                                    |                |                           |              |            |           |               |                       |  |                           | of The Jan<br>Koum<br>Trust VIII |
|                                    |                |                           |              |            |           |               |                       |  |                           | U/A/D<br>1/29/2016               |
|                                    |                |                           |              |            |           |               |                       |  |                           | <u>(7)</u>                       |
|                                    |                |                           |              |            |           |               |                       |  |                           | By Jan<br>Koum and<br>BNY        |
|                                    |                |                           |              |            |           |               |                       |  |                           | Mellon<br>Trust of               |

| 1.Title of Security<br>(Instr. 3) | 2. Trans. Date | 2A. Deemed<br>Execution<br>Date, if any | 3. Trans. Co<br>(Instr. 8) | ode | 4. Securit<br>Disposed<br>(Instr. 3, | of (D)        | uired (A) or | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) | Form: | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)   |
|-----------------------------------|----------------|---|----------------------------|-----|--------------------------------------|---------------|--------------|---|-------|---|
|                                   |                |   | Code                       | V   | Amount                               | (A) or<br>(D) | Price        |   |       |   |
| Class A Common Stock              |                |   |                            |     |                                      |               |              | 2242343   | I     | Delaware,<br>Co-<br>Trustees<br>of The Jan<br>Koum<br>Trust IV<br>U/A/D<br>2/4/2015   |
| Class A Common Stock              |                |   |                            |     |                                      |               |              | 2370448   | I     | By Jan<br>Koum and<br>BNY<br>Mellon<br>Trust of<br>Delaware,<br>Co-<br>Trustees<br>of The Jan<br>Koum<br>Trust V<br>U/A/D<br>4/29/2015    |
| Class A Common Stock              |                |   |                            |     |                                      |               |              | 3500000   | I     | By Jan<br>Koum and<br>BNY<br>Mellon<br>Trust of<br>Delaware,<br>Co-<br>Trustees<br>of The Jan<br>Koum<br>Trust VI<br>U/A/D<br>8/5/2015    |
| Class A Common Stock              |                |   |                            |     |                                      |               |              | 3500000   | I     | By Jan<br>Koum and<br>BNY<br>Mellon<br>Trust of<br>Delaware,<br>Co-<br>Trustees<br>of The Jan<br>Koum<br>Trust VII<br>U/A/D<br>10/20/2015 |
| Class A Common Stock              |                |   |                            |     |                                      |               |              | 141489  | I     | By Jan<br>Koum,<br>Trustee of<br>The Jan<br>Koum<br>Trust II<br>U/A/D<br>8/5/2014<br>(12)   |
| Class A Common Stock              |                |   |                            |     |                                      |               |              | 2528672   | I     | By Jan<br>Koum,<br>Trustee of<br>The Jan<br>Koum<br>Trust III<br>U/A/D<br>10/9/2014   |
| Class A Common Stock              |                |   |                            |     |                                      |               |              | 3500000   | I     | By Jan<br>Koum and<br>BNY<br>Mellon<br>Trust of<br>Delaware,<br>Co-<br>Trustees<br>of The Jan<br>Koum<br>Trust IX<br>U/A/D<br>4/13/2016   |

| Tabl   | e II - Der | ivative Sec                   | urities B | ene | ficially C                                   | Owned ( | e.g. , puts                          | , calls, wa                    | arrai                              | nts, options, conve           | rtible sec | urities) |  |  |
|--|------------|-------------------------------|-----------|-----|--|---------|--------------------------------------|--------------------------------|------------------------------------|-------------------------------|------------|----------|--|--|
| 2. Conversion or Exercise Price of Derivative Price of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            | Securities<br>A) or<br>of (D) | 1         |     | Securities Underlying<br>Derivative Security |         | Derivative<br>Security<br>(Instr. 5) | Securities For Beneficially De | Ownership<br>Form of<br>Derivative | Beneficial                    |            |          |  |  |
| Security   |            |                               | Code      | V   | (A)  | (D)     | Date<br>Exercisable                  | Expiration<br>Date             | Title                              | Amount or Number of<br>Shares |            |          | Direct (D)<br>or Indirect<br>(I) (Instr.<br>4) |  |

#### **Explanation of Responses:**

- The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$117.52 to \$118.51 per
   share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- Shares held of record by Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004.
- ( The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$118.52 to \$118.68 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- ( The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$117.59 to \$118.57 per
- 5) share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- ( The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$118.59 to \$118.68 per
- 6) share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust VIII U/A/D 1/29/2016.
- Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust IV U/A/D 2/4/2015.
- Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust V U/A/D 4/29/2015.
- Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust VI U/A/D 8/5/2015.
- Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust VII U/A/D 10/20/2015.
- Shares held of record by Jan Koum, Trustee of The Jan Koum Trust II U/A/D 8/5/2014.
- Shares held of record by Jan Koum, Trustee of The Jan Koum Trust III U/A/D 10/9/2014.
- Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust IX U/A/D 4/13/2016.

#### **Reporting Owners**

| Panarting Owner Name / Addre | 30       | Relationships |                |       |  |  |  |  |  |
|------------------------------|----------|---------------|----------------|-------|--|--|--|--|--|
| Reporting Owner Name / Addre | Director | 10% Owner     | ips<br>Officer | Other |  |  |  |  |  |
| Koum Jan                     |          |               |                |       |  |  |  |  |  |
| C/O FACEBOOK, INC.           | X        |               |                |       |  |  |  |  |  |
| 1601 WILLOW ROAD             | 2.       |               |                |       |  |  |  |  |  |
| MENLO PARK, CA 94025         |          |               |                |       |  |  |  |  |  |

### **Signatures**

/s/ Michael Johnson as attorney-in-fact for Jan Koum

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

