

# GENWORTH FINANCIAL INC

Reported by  
**PETERS JEAN S.**

## FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 08/02/07 for the Period Ending 07/31/07

|             |  |
|-------------|--|
| Address     | 6620 WEST BROAD STREET<br>RICHMOND, VA 23230 |
| Telephone   | 804-281-6000                                 |
| CIK         | 0001276520                                   |
| Symbol      | GNW  |
| SIC Code    | 6311 - Life Insurance                        |
| Industry    | Insurance (Life)                             |
| Sector      | Financial                                    |
| Fiscal Year | 12/31  |

# GENWORTH FINANCIAL INC

FORM  
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Filed 8/2/2007 For Period Ending 7/31/2007

|             |  |
|-------------|--|
| Address     | 6620 WEST BROAD STREET<br>RICHMOND, Virginia 23230 |
| Telephone   | 804-281-6000                                       |
| CIK         | 0001276520   |
| Fiscal Year | 12/31  |

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# FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**UNITED STATES SECURITIES AND EXCHANGE  
COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL  
OMB Number: 3235-0287  
Expires: January 31, 2008  
Estimated average burden hours per response... 0.5

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public  
Utility Holding Company Act of 1935 or Section 30(f) of the  
Investment Company Act of 1940

|   |         |          |   |  |  |   |  |  |
|---|---------|----------|---|--|--|---|--|--|
| 1. Name and Address of Reporting Person *                   |         |          | 2. Issuer Name and Ticker or Trading Symbol       |  |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   |  |  |
| <b>PETERS JEAN S.</b>                                       |         |          | <b>GENWORTH FINANCIAL INC</b>                     |  |  | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner  |  |  |
| (Last)  | (First) | (Middle) | 3. Date of Earliest Transaction (MM/DD/YYYY)      |  |  | <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)                                   |  |  |
| <b>C/O GENWORTH FINANCIAL, INC., 6620 WEST BROAD STREET</b> |         |          | <b>7/31/2007</b>                                  |  |  | <b>SVP - STRAT ANALYSIS &amp; PLAN</b>  |  |  |
| (Street)  |         |          | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |  |  | 6. Individual or Joint/Group Filing (Check Applicable Line)   |  |  |
| <b>RICHMOND, VA 23230</b>                                   |         |          |   |  |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |  |  |
| (City)  |         |          | (State)   |  |  | (Zip)   |  |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Trans. Date | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|----------------|-----------------------------------|---------------------------|---|---|------------|-------|---|--|---|
|                                 |                |                                   | Code                      | V | Amount  | (A) or (D) | Price |   |  |   |

**Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|----------------|-----------------------------------|---------------------------|---|--|-----|---|-----------------|---|----------------------------|--|---|--|--|
|  |  |                |                                   | Code                      | V | (A)  | (D) | Date Exercisable                        | Expiration Date | Title   | Amount or Number of Shares |  |   |  |  |
| Restricted Stock Units                   | (1)  | 7/31/2007      |                                   | A                         |   | 2250   |     | (2)                                     | (2)             | Class A Common Stock  | 2250                       | \$0  | 2250  | D  |  |
| Stock Settled SARs                       | \$30.52  | 7/31/2007      |                                   | A                         |   | 15750  |     | (3)                                     | 7/31/2017       | Class A Common Stock  | 15750                      | \$0  | 15750   | D  |  |

**Explanation of Responses:**

- (1) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- (2) Restricted Stock Units reported on this Form 4 vest and convert to Class A Common Stock: 50% on 7/31/2010 and 50% on 7/31/2012.
- (3) Vests in 20% annual increments beginning on 7/31/2008.

**Reporting Owners**

| Reporting Owner Name / Address                         | Relationships |           |  |       |
|--|---------------|-----------|--|-------|
|  | Director      | 10% Owner | Officer                                | Other |
| <b>PETERS JEAN S.<br/>C/O GENWORTH FINANCIAL, INC.</b> |               |           | <b>SVP - STRAT ANALYSIS &amp; PLAN</b> |       |

6620 WEST BROAD STREET  
RICHMOND, VA 23230

**Signatures**

/s/ Richard J. Oelhafen, Jr., Attorney-in-Fact

8/2/2007

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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