

# **GENWORTH FINANCIAL INC**

# Reported by GROH KELLY L

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 02/24/15 for the Period Ending 02/20/15

Address 6620 WEST BROAD STREET

RICHMOND, VA 23230

Telephone 804-281-6000

CIK 0001276520

Symbol GNW

SIC Code 6311 - Life Insurance

Industry Insurance (Life)

Sector Financial

Fiscal Year 12/31





[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

															1				
1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol								ng Syr		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Groh Kelly L				C	E	NV	<b>VORT</b>	H FI	NA	4N	NCIA	۱L	INC						
Gron Keny E					[GNW]									Direct	Director			wner	
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)								DD/YY	X _ Office below)	X Officer (give title below) Other (specify below)				
C/O GENWORTH FINANCIAL, INC., 6620 WEST BROAD STREET					2/20/2015								Vice Pres	ident &	Controlle	r			
1110., 0020 11.	(Street)	(OIID)			If	Λn	nandma	nt Dat	<u> </u>	)ri c	ainal	File	ad.		6 Individ	ual or Ioi	nt/Group l	Filing (Ch.	a als
(Silect)					4. If Amendment, Date Original Filed (MM/DD/YYYY)								zu	6. Individual or Joint/Group Filing (Check Applicable Line)					
RICHMOND, VA 23230																			
(City) (State) (Zip)					X Form filed by One Reporting Form filed by More than One F														
		Table l	I - Non-I	)erix	zati <sup>.</sup>	Ve :	Securit	ies A <i>c</i>	ani	rec	d. Die	sno	sed of	or	Beneficially	v Owned			
1.Title of Security		Tuble	110111	2. Tr			A.	3. Trans	_	_	Securi	_	bea or	_	mount of Securi			6.	7. Nature
			Date			Deemed Execution Date, if	Code (Instr. 8)		Ac Di	Acquired (A) Disposed of ( (Instr. 3, 4 an		(D)	Following Reported (Instr. 3 and 4)				Ownership Form: Direct (D)	of Indirect Beneficial Ownership	
							Code	v	Aı	mount	(A) or (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)		
Class A Common Stock 2/				2/20/	20/2015			М		10	.063	A	(1)	19055		D			
Class A Common Stock 2/				2/20/	20/2015		F		34	<b>13</b> (2)	D	\$7.94		18712		D			
Class A Common Stock															122	28.617		I	by 401(k)
Tah	le II - Dei	rivotivo	Socuriti	nc Ro	maf	fici	ally Ou	mod (	ρα	٠,	nute	വ	lle wo	rrai	nts, options	convert	ible secur	rities)	I.
1. Title of Derivate	1	3. Trans.	3A.	4.				_	_	_			1					10.	11. Nature
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date	Deemed	Trans	de Securities		and E						ies U	nderlying ecurity	derlying curity (Security (Instr. 5) Securities Beneficial Owned Following Reported		Ownership of Ind Form of Bene: Derivative Owne Security: Direct (D) or Indirect (I) (Instr.	of Indirect Beneficial	
							i												
				Code	$\left  \mathbf{v} \right $	(A)	(D)	Date Exerc			Expira Date	tion	Title		Amount or Number of Shares		Transaction (s) (Instr. 4)	4)	
Restricted Stock Units	(1)	2/20/2015		М			1063		(3)		(3)	)	Class Comn Stock	non	1063	\$0.00	3187	D	

#### **Explanation of Responses:**

- (1) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- (2) The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock Units that vested on February 20, 2015.
- (3) Restricted Stock Units vested and converted to Class A Common Stock on 02/20/2015.

**Reporting Owners** 

Reporting Owner Name / Address			Relationships		
Reporting Owner Name / Address	Director	10% Owner	Officer	(	Other
Groh Kelly L C/O GENWORTH FINANCIAL, INC.					

6620 WEST BROAD STREET		Vice President & Controller	
RICHMOND, VA 23230			

**Signatures** 

/s/ Christine A. Ness, by power of attorney 2/24/2015

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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