

# **GENWORTH FINANCIAL INC**

# Reported by **PEHOTA JOSEPH**

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 08/03/10 for the Period Ending 07/31/10

Address 6620 WEST BROAD STREET

RICHMOND, VA 23230

Telephone 804-281-6000

CIK 0001276520

Symbol GNW

SIC Code 6311 - Life Insurance

Industry Insurance (Life)

Sector Financial

Fiscal Year 12/31





[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Add	ress of Re	porting l	Person *	2	. Is	sue	r Nam	e and	d T	icker	or	Trac	ling	Symbo	5. Relation (Check all			Person(s)	to Issuer
Pehota Joseph	1					NV NV	VOR V 1	ТН	FI	[NA]	NC	CIA	LI	NC	Direct	or	_	10% O	wner
(Last)	(First)	(Mid	ldle)					liest	Tra	nsac	tior	1 (MM	1/DE	D/YYYY)	below)	cer (give title	,		r (specify
C/O GENWO INC., 6620 W			,	ET				7	7/3	1/20	10				SVP - Co.	rporate i	Developin	ent	
1100,0020 11	(Street)	(112)		4			nendm YYYY)		Dat	e Ori	igin	ıal Fi	led		6. Individ		nt/Group I	Filing (Che	eck
RICHMOND.	, VA 232	230																	
(City)	(State)	(Zip)	)														Reporting Per than One Rep		n
		Table l	[ - Non-l	Deriv	ati	ive :	Securi	ities .	Ac						Beneficiall				
1.Title of Security (Instr. 3)				2. Tra Date	ns.	Ex Da	eemed ecution ate, if	3. Tra Code (Instr.		(A) (D)	or I	rities A Dispos	ed of	f Follo	nount of Securitions of Securitions Reported Total (1) and 4)			6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
						an	у	Cod	le	V Am	oun	(A) or t (D)	Pri	ice				or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common Sto	ck			7/31/2	2010	)		M		22	25	A	(	1)	8	587		D	
Class A Common Sto	ck			7/31/2	2010			F		73	34	D	\$13.	.96	7	853		D	
Class A Common Sto	ck														1	258		I	By 401(k) Plan
Tab	le II - Dei	rivative	Securiti	es Be	ene	fici	ally O	wne	d (	e.g. ,	pu	ıts, c	alls	, warra	nts, options	, convert	ible secur	ities)	
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans Code (Instr 8)		Deri Secu Acq Disp	Jumber of ivative urities quired (A posed of tr. 3, 4 a	a) or (D)		te Exe Expirat			S			8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	5) (A)	(D)		Date Exerc	cisable		piratio te	n T	itle	Amount or Number of Shares		Reported Transaction (s) (Instr. 4)	(I) (Instr. 4)	
Restricted Stock Units	(1)	7/31/2010		М			2225			(2)		(2)	- 1	Class A Common Stock	2225	\$0	2225	D	

#### **Explanation of Responses:**

- (1) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- (2) Restricted Stock Units reported on this Form 4 vested and converted to Class A Common Stock on 7/31/2010.

Reporting Owners

reporting e where		
Demonting Orymon Name / Adduses	Relationships	
Reporting Owner Name / Address	Director 10% Owner Officer	Other
Pehota Joseph		
C/O GENWORTH FINANCIAL, INC	·•	

6620 WEST BROAD STREET RICHMOND, VA 23230 SVP - Corporate Development
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**Signatures** 

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.