

# GENWORTH FINANCIAL INC

## FORM 13F-NT (Form 13F Cover Page)

Filed 11/10/14 for the Period Ending 09/30/14

Address	6620 WEST BROAD STREET RICHMOND, VA 23230
Telephone	804-281-6000
CIK	0001276520
Symbol	GNW
SIC Code	6311 - Life Insurance
Industry	Insurance (Life)
Sector	Financial
Fiscal Year	12/31

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE  
COMMISSION

Washington, D.C. 20549  
**FORM 13F**

OMB APPROVAL

OMB  
Number: 3235-0006  
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hours per  
response: 23.8

**FORM 13F COVER PAGE**

Report for the Calendar Year or Quarter Ended: 09-30-2014

Check here if Amendment:  Amendment Number: \_\_\_\_\_

This Amendment (Check only one.):  is a restatement.  
 adds new holdings entries.

**Institutional Investment Manager Filing this Report:**

Name: GENWORTH FINANCIAL INC  
Address: 6620 WEST BROAD STREET  
RICHMOND VA 23230

Form 13F File Number: 028-11523

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

**Person Signing this Report on Behalf of Reporting Manager:**

Name: Patricia Merrill  
Title: Deputy General Counsel - Investments  
Phone: 804-662-2711

**Signature, Place, and Date of Signing:**

/s/ Patricia Merrill Richmond, VIRGINIA 11-07-2014  
[Signature] [City, State] [Date]

Do you wish to provide information pursuant to Special Instruction 5?  Yes  No

**Report Type (Check only one.):**

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

**List of Other Managers Reporting for this Manager**

[If there are no entries in this list, omit this section.]

Form 13F File Number	Name
028-14116	RBC Global Asset Management Inc.
028-05987	TD Asset Management Inc.

## Form 13F Summary Page

### Report Summary:

Number of Other Included Managers: \_\_\_\_\_

Form 13F Information table Entry Total: \_\_\_\_\_

Form 13F Information table Value Total: \_\_\_\_\_  
(thousands)

### List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.