

# **GENWORTH FINANCIAL INC**

# Reported by RIEPE JAMES S

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 10/02/12 for the Period Ending 09/28/12

Address 6620 WEST BROAD STREET

RICHMOND, VA 23230

Telephone 804-281-6000

CIK 0001276520

Symbol GNW

Fiscal Year 12/31





] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
RIEPE JAMES S					GENWORTH FINANCIAL INC [ GNW ]						<b>X</b> Direc	X Director 10% Owner				
(Last)	(First)	(Mid	dle)	3.	3. Date of Earliest Transaction (MM/DD/YYYY)						Office below)	r (give title	Other (specify			
C/O GENWO INC, 6620 WI				Т			9	9/28/201	.2							
	(Street)	220				Amendme	nt,	Date Orig	inal Filed	d	6. Individu Applicable Li		nt/Group l	Filing (Che	eck	
RICHMOND,	(State)	(Zip)	)										Reporting Pethan One Rep		n	
		Table l	[ - Non-I	Deriv	ativ	ve Securit	ies	Acquired	l, Dispos	ed of, or	Beneficially	y Owned	l			
· · · · · · · · · · · · · · · · · · ·			2. Tra Date					wing Reported T				7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Tab	le II - Dei	rivative	Securitio	es Be	enef	icially Ov	vne	ed ( e.g. , p	outs, call	s, warra	nts, options	, convert	ible secur	ities)	•	
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date		4. Trans Code (Instr 8)	s.   1   S   T	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date				(Instr. 5)	of derivative Securities Beneficially Owned	Ownership Form of Derivative Ov	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction (s) (Instr. 4)	(I) (Instr.		
Deferred Stock Units	(1)	9/28/2012		A		14059.282		(1)	(1)	Class A Common Stock	14059,282	(2)	87302.0471	D		

#### **Explanation of Responses:**

- (1) Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- (2) The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee for service as a director and as Non-Executive Chairman and was based on a price of \$5.5835 per share of Class A Common Stock.

Reporting Owners

Reporting Owners								
Paparting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10%	Owner	Officer	Other			
RIEPE JAMES S								
C/O GENWORTH FINANCIAL, INC								
	X							
6620 WEST BROAD STREET								
RICHMOND, VA 23230		1						

#### **Signatures**

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.