# **CIRRUS LOGIC INC**

## FORM SC 13D/A

(Amended Statement of Beneficial Ownership)

## Filed 1/10/2001

Address 2901 VIA FORTUNA

AUSTIN, Texas 78746

Telephone 512-851-4000

CIK 0000772406

Industry Semiconductors
Sector Technology

Fiscal Year 03/30



# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

**SCHEDULE 13D** 

UNDER THE SECURITIES EXCHANGE ACT OF 1934 (AMENDMENT NO. 7)

Cirrus Logic Inc.

(Name of Issuer)

**Common Stock** 

(Title of Class of Securities)

172755100

(CUSIP Number)

Alfred Teo Alpha Industries, Inc. Page & Schuyler Avenues P.O. Box 808 Lyndhurst, N.J. 07071 (201) 933-6000

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

December 4, 2000

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of Sec.Sec.240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. []

1.	Names of (entities								los. o	f above	e pe	rsons
2.	Check the	Appropi	riate E	Box if	a Membe	er of	a Gro	oup (Se	ee Ins	tructio	ons)	
	(b) XX											
3.	SEC Use											
4.	Source of	Funds	(See I	instruc			F					
5.	Check if 2(d) or		ure of	Legal						rsuant		
6.	Citizens								 SA 			
 Number o Shares	s icially ting	7.	Sole V	oting								
Owned By		8. 8			Power		6,56	52,100				
Each Reportin Person		9.	Sole Di	sposit		er 	11	1,000				
With		10.	Shared	Dispos	itive F	ower	6	5,562,1	L00			
11.	Aggregate	Amount			h Repor	ting	Perso			 100 		
	Check if	ructions	3)			.n Ro	 w (11	L) Excl	ludes	Certa:	in S	 hares
	Percent					Amou	nt in	n Row	(11)	8.4%	(1)	-
14.	Type of	Report	ing Pe	erson	(See Ir	struc	tions		 [N 			

<sup>(1)</sup> Based on 79,022,442 shares outstanding as of December 30, 2000 according to the Issuer's Transfer Agent.

1.	Names of (entities	Reporting Person I.R.S. Identification Nos. of above persons only). Annie Teo; SSN: 062-46-2758
2.	Check the	Appropriate Box if a Member of a Group (See Instructions)
	(b) XX	
3.	SEC Use	Only
4.	Source of	Funds (See Instructions) PF
5.	Check if 2(d) or	Disclosure of Legal Proceedings is Required Pursuant to Items 2(e)
6.	Citizensh	nip or Place of Organization USA
Number of Shares		7. Sole Voting Power 0
Benefic: Owned By	-	8. Shared Voting Power 6,562,100
Each Reporti Person		9. Sole Dispositive Power 0
With		10. Shared Dispositive Power 6,562,100
11.	Aggregate 6,673,100	Amount Owned by Each Reporting Person (2)
12.		the Aggregate Amount in Row (11) Excludes Certain Shares
13.	Percent	of Class Represented by Amount in Row (11) 8.4% (3)
14.	Type of	Reporting Person (See Instructions) IN

<sup>(2)</sup> This includes 111,000 shares of Common Stock of the Issuer held by Alpha Industries, Inc. Retirement Plan Trust of which Alfred S. Teo is trustee and 21,283 shares of Common Stock of the Issuer issuable upon exercise of the options to purchase Common Stock that he holds that are exercisable within 60 days of the date hereof. Because Mr. Teo is the spouse of Mrs. Teo, such shares may be deemed to be beneficially owned by Mrs. Teo. Nevertheless, Mrs. Teo disclaims beneficial ownership of such shares.

(... continued)

(3) Based on 79,022,442 shares outstanding as of December 30, 2000 according to the Issuer's Transfer Agent.

1.	Names of (entities			dustries		Retirem		of above an, dated	
	1, 1984,	Alfred S.	Teo, Tru	stee. T	ax I.D.	# 22-2	2408251.		
2.	Check the	e Appropria			er of a	Group	(See In	nstructio	ns)
	(b) XX								
3.	SEC Use	Only							
4.	Source of	Funds (S	Gee Instr	uctions)	00	) 			
5.	Check if 2(d) or	Disclosure 2(e)	of Lega	l Procee	 dings i	.s Requ	ired E	oursuant	to Items
6.	Citizensh	nip or Pl	ace of	Organiz	ation	New	Jersey	, USA	
Number of		7. Sol	e Votin	g Power		111,00	00		
Benefic: Owned By Each	Ially	8. Sha	red Voti	ng Power		0			
Reportin Person	ng	9. Sol	e Dispos	itive Po			00		
With		10. Sha	red Disp	ositive	Power -	0			
11.	Aggregate	Amount Ov	ned by E	ach Repo		erson		000	
12.	Check if (See Inst	the Agg	regate .	 Amount 	in Row	7 (11) E	Excludes	Certai	n Shares
13.	Percent	of Class	Represe	nted by	Amoun	nt in Ro	ow (11)	0% (4)	
14.	Type of	Reporting	Person	(See I	nstruct	ions)	00		

<sup>(4)</sup> Based on 79,022,442 shares outstanding as of December 30, 2000 according to the Issuer's Transfer Agent.

1.		Reporting only).	Alpha Te		s, Inc.	ation Nos	. of above	persons
2.	Check the	Appropria					Instructio	
	(b)							
3.	SEC Use	Only						
4.	Source of	Funds (S	See Instr	uctions)	WC			
5.	Check if 2(d) or	Disclosure 2(e)	e of Lega	Proceed:				to Items
6.	Citizensh	ip or Pl	Lace of	Organizat		New Jers		
Number of Shares Benefici		7. Sol	le Voting	g Power	0			
Owned By Each	•	8. Sha	ared Voti	ng Power	0			
Reportin Person With		9. Sol	le Dispos	itive Powe				
WICH		10. Sha	ared Dispo	ositive Po				
11.	Aggregate	: Amount Ov	vned by Ea	ach Report	ing Pers	on 0		
12.	Check if (See Inst	the Agg	gregate i	Amount in	n Row (1	.1) Exclud	es Certai	n Shares
13.	Percent	of Class	Represe	nted by	Amount i	n Row (11	) 0% 	
14.	Type of	Reporting	g Person	(See Ins	struction	ns) CO		

1.	Names of (entities								. of al	oove r	persons
			Tax	I.D.	#22-289	9749					
2.	Check the	Approp					_				
	(b)										
3.	SEC Use	Only									
4.	Source of	Funds	(See	Instru	ctions)	W	 C 				
5.	Check if 2(d) or		ure of	Legal	Procee	dings	is Req	uired	Pursua	ant to	o Items
6.	Citizensh	nip or	Place	of (	Organiza		Net		ey, USA	 A 	
Number of		7. \$	Sole '	Voting	Power		0				
Benefici Owned By Each	-	8.	Shared	Voting	g Power		0				
Reportir Person		9.	Sole D	isposi	tive Po		0				
With		10.	Shared	Dispo	sitive 1						
11.	Aggregate	Amount	Owned	by Ead	ch Repo	rting :	Person	0			
12.	Check if (See Inst			ate Ar	mount :	in Ro	w (11)	Exclud	es Cei	rtain	Shares
13.	Percent	of Clas	ss Re	presen	ted by	Amou	nt in Ro	ow (11	) 0% 		
14.	Type of	Report	ing P	erson	(See I	nstruc	tions)	C0			

This Amendment No. 7 amends and supplements the Schedule 13D filed on August 27, 1997, as amended, relating to the shares of Common Stock, par value \$.001 of Cirrus Logic, Inc., a Delaware corporation ("Issuer"). The address of the principal executive office of the Issuer is 4210 S. Industrial Drive, Austin, TX 78744.

Pursuant to Rule 13d-1(k) promulgated under the Securities Exchange Act of 1934, as amended (the "Act"), the undersigned hereby jointly file this amended statement on Schedule 13D ("Statement") on behalf of Alfred S. Teo, Annie Teo and Alpha Industries, Inc. Retirement Plan, Alpha Technologies, Inc. and Lambda Financial Service Corp. The foregoing persons may be hereinafter referred to collectively as the "Reporting Persons". The Reporting Persons are making this single, joint filing to comply with the reporting requirements with respect to Common Stock of the Issuer that each beneficially owns.

#### ITEM 3. SOURCE AND AMOUNT OF FUNDS OR OTHER CONSIDERATION

# Item 3 is hereby amended so as to add at the end thereof the following:

The source of funds used by Alfred Teo and Annie Teo in making all purchases of Common Stock since October 9, 1998 was personal funds. The source of funds used by Alpha Industries, Inc. Retirement Plan for purchases of Common Stock since October 9, 1998 was trust funds. The aggregate amount of funds used in making purchases, including brokerage commissions and other costs of execution, from October 9, 1998 through on December 15, 2000 was as set forth in the following table:

\$10,774,019.50
\$713,767.30
\$11,487,786.80 

ITEM 4. PURPOSE OF TRANSACTION

#### Item 4(a) is hereby amended so as to read in its entirety as follows:

(a) The Reporting Persons may acquire additional securities of the Issuer or dispose of securities of the Issuer from time to time.

#### ITEM 5. INTEREST IN SECURITIES OF THE ISSUER

#### Item 5 is hereby amended so as to read in its entirety as follows:

- (a) Alfred S. Teo beneficially owns 6,673,100 shares of Common Stock of the Issuer, including (i) 111,000 shares of Common Stock of the Issuer held by Alpha Industries, Inc. Retirement Plan of which Mr. Teo is trustee and
- (ii) 21,283 shares of Common Stock of the Issuer issuable upon exercise of the options to purchase Common Stock that he holds that are exercisable within 60 days of the date hereof. Together, these shares represent 8.4% of the outstanding Common Stock of the Issuer (based on 79,022,442 shares outstanding as of December 30, 2000, according to the Issuer's Transfer Agent).

Annie Teo beneficially owns 6,673,100 shares of Common Stock of the Issuer or 8.4% of the outstanding Common Stock of the Issuer (based on 79,022,442 shares outstanding as of December 30, 2000, according to the Issuer's Transfer Agent). Such shares include (i) 111,000 shares of Common Stock of the Issuer held by Alpha Industries, Inc. Retirement Plan of which her spouse, Mr. Teo, is trustee and (ii) 21,283 shares of Common Stock of the Issuer that Mr. Teo holds issuable upon exercise of the options to purchase Common Stock that are exercisable within 60 days of the date hereof. Because Mr. Teo is the spouse of Mrs. Teo, such shares may be deemed to be beneficially owned by Mrs. Teo. Nevertheless, Mrs. Teo disclaims beneficial ownership of such shares.

Alpha Industries, Inc. Retirement Plan Trust beneficially owns 111,000 shares of Common Stock of the Issuer or less than one percent of the outstanding Common Stock of the Issuer (based on 79,022,442 shares outstanding as of December 30, 2000, according to the Issuer's Transfer Agent).

- (b) Alfred S. Teo and his spouse, Annie Teo, hold 6,562,100 shares of Common Stock of the Issuer as "joint tenants with rights of survivorship" and, as such, jointly share the power to vote, or direct the vote, and to dispose of, or direct the disposition of, such shares. Mr. Teo is the trustee of the Alpha Industries, Inc. Retirement Plan, dated January 1, 1984, and, therefore, has sole power to vote, or direct the vote, and to dispose of, or direct the disposition of, the 111,000 shares of Common Stock of the Issuer held by this Retirement Plan.
- (c) The following Reporting Persons effected the following transactions in the class of securities reported since October 9, 1998 to December 15, 2000:

## (1) Alfred Teo and Annie Teo, as joint tenants with rights of survivorship effected the following market purchases:

Date	Quantity	Price	Total Cost
12/23/98	5,000	\$ 10.31	\$ 51,565.00
12/23/98	5,000	\$ 10.25	\$ 51,250.00
12/24/98	10,000	\$ 10.25	\$ 102,500.00
12/24/98	5,000	\$ 10.50	\$ 52,500.00
12/24/98	5,000	\$ 10.25	\$ 51,250.00
12/28/98	70,000	\$ 10.38	\$ 726,250.00
12/29/98	50,000	\$ 10.13	\$ 506,250.00
12/30/98	10,000	\$ 9.84	\$ 98,440.00
12/30/98	10,000	\$ 9.81	\$ 98,130.00
12/31/98	5,000	\$ 9.88	\$ 49,375.00
12/31/98	2,500	\$ 9.75	\$ 24,375.00
12/31/98	2,500	\$ 9.69	\$ 24,220.00
12/31/98	10,000	\$ 9.50	\$ 95,000.00
01/15/99	20,000	\$ 12.65	\$ 253,060.00
01/15/99	30,000	\$ 12.63	\$ 378,750.00
01/15/99	10,000	\$ 12.50	\$ 125,000.00
01/21/99	55,000	\$ 11.75	\$ 646,250.00
01/21/99	10,000	\$ 11.69	\$ 116,880.00
01/21/99	7,500	\$ 11.88	\$ 89,062.50
01/21/99	22,500	\$ 11.81	\$ 265,792.50
01/21/99	5,000	\$ 11.63	\$ 58,125.00
01/27/99	50,000	\$ 10.13	\$ 506,250.00
02/02/99	160,000	\$ 9.46	\$1,513,120.00
11/02/2000	125,000	\$39.125	\$4,890,625.00

### (2) Alpha Industries, Inc. Retirement Plan effected the following market purchases:

Date	Quantity	Price	Total Cost
3/5/98	1,500	\$10.38	\$ 15,562.50
3/5/98	3,000	\$10.50	\$ 31,500.00
3/5/98	20,000	\$10.44	\$208,760.00
7/22/98	13,000	\$ 9.69	\$125,944.00
9/4/98	7,500	\$ 6.78	\$ 50,850.00
9/4/98	5,500	\$ 6.69	\$ 36,784.00
9/25/98	10,000	\$ 6.19	\$ 61,880.00
9/25/98	2,900	\$ 6.13	\$ 17,762.50
10/13/98	100	\$ 6.44	\$ 643.80
10/13/98	15,000	\$ 6.44	\$ 96,580.50
3/22/99	9,000	\$ 7.50	\$ 67,500.00

- (3) Alpha Technologies, Inc. transferred on February 27, 2000, 15,600 shares of Common Stock to Alfred Teo at a price of \$19.875 per share or \$310,050 in the aggregate.
- (4) Lambda Financial Service Corp. transferred on February 27, 2000, 30,000 shares of Common Stock to Alfred Teo at a price of \$19.875 per share or \$596,250 in the aggregate.
- (5) Alfred Teo and Annie Teo effected the following market dispositions:

Date	Quantity	Price	Total Cost
11/30/00	170,000	\$ 22.45	\$ 3,816,500
12/1/00	175,000	\$ 22.45	\$ 3,928,750
12/4/00	275,000	\$19.875	\$ 5,465,625
12/4/00	725,000	\$ 19.00	\$13,775,000

No other transactions in the class of securities reported were effected by the Reporting Persons since October 9, 1998 to December 15, 2000 other than those listed above.

- (d) Not applicable.
- (e) Not applicable.

# ITEM 6. CONTRACTS, ARRANGEMENTS, UNDERSTANDINGS OR RELATIONSHIPS WITH RESPECT TO SECURITIES OF THE ISSUER

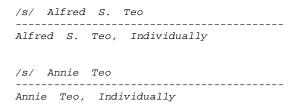
#### Item 6 is hereby amended so as to read in its entirety as follows:

There are no contracts, arrangements, understandings or relationships (legal or otherwise) among the persons named in Item 2 and between such persons and any person with respect to any securities of the Issuer, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees or profits, division of profits or loss, or the giving or withholding of proxies. Mr. and Mrs. Teo have also stated that, in the election of directors, they intend to vote their shares of the Issuer in favor of the Governance Committee's nominees to the Board; not to directly or indirectly participate in any solicitation of proxies other than as recommended by the Board with respect to voting shares of the Issuer; not to form, join or in any way participate in a "group" within the meaning of Section 13(d)(3) of the Securities Exchange Act of 1934 with respect to any voting securities of the Issuer; and not to otherwise act alone or in concert with others to seek control of the Issuer. The Issuer has signed no agreement with Mr. Teo or any other Reporting Person with respect to the foregoing matters.

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated as of January 4, 2000.



ALPHA INDUSTRIES, INC. RETIREMENT PLAN

ALPHA TECHNOLOGIES, INC.

LAMBDA FINANCIAL SERVICE CORP.

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**End of Filing** 



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