

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person *      |   |                |               | 2.             | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |  |      |   |   |  |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |   |   |                         |
|--|---|----------------|---------------|----------------|--|--|------|---|---|--|--|---|---|---|-------------------------|
| Alderson Lisa                                  |   |                |               | I              | nvi  | itae Co  | rp   | [ NVTA                                  | .]  |  |  |   |   |   |                         |
| (Last) (First) (Middle)                        |   |                |               | 3.             | 3. Date of Earliest Transaction (MM/DD/YYYY)       |  |      |   |   |  | ,  | Director 10% Owner  |   |   |                         |
| C/O INVITAE                                    | C   |                |               |                |  |  |      | 8/4/201                                 | 15  |  | X Office below)  Chief Stra                  | er (give title<br>ategy Of  |   | Othe  | r (specify              |
| CORPORATI<br>STREET                            |   | 8 BRA          | NNAN          | 1              |  |  |      |   |   |  |  | weg, or   |   |   |                         |
|  |   |                |               |                |  |  |      |   |   |  |  | 6. Individual or Joint/Group Filing (Check<br>Applicable Line)          |   |   |                         |
| SAN FRANCI                                     | (State)   | CA 941<br>(Zij |               |                |  |  |      |   |   |  |  |   | Reporting Per   |   | _                       |
| (City)   | (State)   |                |               | Deriv          | ati  | ve Secur   | itie | s Acquire                               | ed, Dispo   | sed of, o  | r Beneficially                               |   | •   | orung Persoi  | 1                       |
| 1.Title of Security<br>(Instr. 3)              |   |                |               | 2. Tra<br>Date | ans.   | 2A.<br>Deemed<br>Execution<br>Date, if<br>any  | n (I | ode Anstr. 8) D                         | . Securities Acquired (A) Disposed of (Instr. 3, 4 and Or (D) | or Foll (Inst  | mount of Securitiowing Reported Tr. 3 and 4) |   |   | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr.<br>4)          | Beneficial<br>Ownership |
| Tabl   | le II - Dei   | rivative       | Securiti      | es Be          | nef  | ficially O   | wn   | ed ( <i>e.g.</i> ,                      | puts, cal   | ls, warr   | ants, options                                | , convert   | ible secur  | ities)  |                         |
| 1. Title of Derivate<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Trans. Date | 3A.<br>Deemed |                | ns. (3   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and<br>5) |      | 6. Date Exercisable and Expiration Date |   | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | (Instr. 5)  | 9. Number<br>of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following | 10.<br>Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect | Beneficial              |
|  |   |                |               | Code           | v  | (A)  | (D)  | Date<br>Exercisable                     | Expiration<br>Date  | Title  | Amount or<br>Number of<br>Shares             |   | Reported<br>Transaction<br>(s) (Instr. 4)   | (I) (Instr.<br>4)   |                         |
| Employee Stock<br>Option (right to buy)        | \$9.91  | 8/4/2015       |               | A              |  | 70000  |      | (1)                                     | 8/4/2025  | Common<br>Stock  | 70000  | \$0   | 70000   | D   |                         |

## **Explanation of Responses:**

(1) The option vests over four years as follows: 25% of the shares vest on the one-year anniversary of the date of grant and 1/48th of the shares vest each month thereafter for the remaining three years.

**Reporting Owners** 

| Depositing Overson Name / Address             | Relationships |           |                        |       |  |  |  |  |
|---|---------------|-----------|------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address                | Director      | 10% Owner | Officer                | Other |  |  |  |  |
| Alderson Lisa<br>C/O INVITAE CORPORATION      |               |           | Chief Strategy Officer |       |  |  |  |  |
| 458 BRANNAN STREET<br>SAN FRANCISCO, CA 94107 |               |           | S.                     |       |  |  |  |  |

## **Signatures**

/s/ Patty Dumond, Attorney-in-Fact

8/6/2015

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.