

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Is	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
FERRO DENN	IS H				IN(FG	CIPAL I	FINA	ANCL	AL	GRO	UP INC	X Director			10% Owner	
(Last)	st) (First) (Middle)			3. D	3. Date of Earliest Transaction (MM/DD/YYYY)						Officer (give title below) Other (specify below)			y below)		
711 HIGH STREET					4/15/2013											
	(Street)			4. If	Am	nendment,	Date	Origina	al Fi	iled (MM	/DD/YYYY)	6. Individual on	· Joint/Gr	oup Filing	g (Check Ap	plicable
DES MOINES, (City)	IA 5039 (State)	(Zip)										X Form filed by Form filed by M			Person	
		Tal	ble I - No	n-Deriv	ativ	e Securit	ies Ac	quired	d, Di	isposed	of, or Bei	neficially Owne	ed			
1.Title of Security (Instr. 3)				2. Trans. Date]	2A. Deemed Execution Date, if any	3. Tran Code (Instr. 8	8) A D (I	Acquir Dispos	red (A) or sed of (D) 3, 4 and 5)	Follow (Instr.	ount of Securities Bei ring Reported Transa 3 and 4)		wned	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Table II	- Derivat	ive Secur	ities Be	nefi	icially Ov	vned (<i>e.g.</i> , p	puts	, calls, v	varrants,	options, conve	rtible sec	curities)		
1. Title of Derivate Security (Instr. 3)	Conversion Date Deemed C		4. Trans. Code (Instr. 8)	ode Derivative Sec		curities and Ex		e Exercisable epiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		(Instr. 5)	of derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amount or Number of Shares		Reported Transaction (s) (Instr. 4)	(I) (Instr. 4)	
Phantom Stock Units	(1)	4/15/2013		A		29.1		(2)		(2)	Common Stock	29.1	\$34.36	11539.3	D	

Explanation of Responses:

- (1) The units convert to common stock on a one-for-one basis.
- (2) The reported phantom stock units were acquired pursuant to the Principal Deferred Compensation Plan for Non-Employee Directors and will be settled on the reporting person's retirement.

Reporting Owners

Depositing Overson Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
FERRO DENNIS H								
711 HIGH STREET	X							
DES MOINES, IA 50392								

Signatures

Joyce N. Hoffman

** Signature of Reporting Person

A/16/2013

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.