

BROADWIND ENERGY, INC. Reported by AWM INVESTMENT COMPANY, INC.

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 06/26/15 for the Period Ending 06/25/15

Address 3240 S. CENTRAL AVENUE

CICERO, IL 60804

Telephone 708-780-4800

CIK 0001120370

Symbol BWEN

SIC Code 3360 - Nonferrous Foundries (castings)

Industry Misc. Capital Goods

Sector Capital Goods

Fiscal Year 12/31





X | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				*	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
AWM Investment Company, Inc.			•	BROADWIND ENERGY, INC. [BWEN]							Direct	or		X 10%	o Owner		
(Last)	(First)		(Middle)		3. Date of Earliest Transaction (MM/DD/YYYY)					Office elow)	Officer (give title below) Other (specify low)						
527 MADISO 2600	N AVE	NUE	E, SUIT	E			6/2	25,	/2015								
(Street)					4. If Amendment, Date Original Filed (MM/DD/YYYY)						6. Individual or Joint/Group Filing (Check Applicable Line)						
NEW YORK, NY 10022 (City) (State) (Zip)											-	_ X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Tab	ole I - Noi	1-Der	ivativ	e Secur	ities A	cqı	uired, Dis	spo	sed of,	or Be	neficially	y Owned			
			2. T Date	Deemed Code (A) or Disposed of (D) Own (s) (Instr. 8) (Instr. 3, 4 and 5)			Owned (s)	rned Following Reported Transaction str. 3 and 4)			Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
Common Stock 6/			6/25	5/2015		s		71850 (2) (3) D		4.317 (1)		1469550 (2)(3)			,	By Limited Partnerships	
Tab	ole II - De	rivati	ive Secur	ities E	Benefi	icially O	wned ((e.	g. , puts,	cal	lls, war	rants	, options	, convert	ible secu	ırities)	
1. Title of Derivate Security (Instr. 3)	te 2. Conversion or Exercise Price of Derivative Security		Execution Co	Trans. Code	Deriv Secu Acqu Disp	umber of vative rities tired (A) or osed of (D)	6. Date Exercisable and Expiration Date Date Expiration			7. Title and Amou Securities Underly Derivative Securit (Instr. 3 and 4)		nderlyir ecurity 4)	ag	8. Price of Derivative Security (Instr. 5)	of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 14)	(Instr. 4)
				Code V	(A)	(D)	Exercis	able		Tit	le Shares		inioci oi		(s) (Instr. 4	4)	

Explanation of Responses:

- (1) This is a weighted average price.
- (2) AWM Investment Company, Inc., a Delaware Corporation (AWM), is the investment adviser to Special Situations Fund III QP, L.P. (QP), Special Situations Cayman Fund, L.P. (CAYMAN), Special Situations Technology Fund, L.P. (TECH) and Special Situations Technology Fund II, L.P. (TECH II and together with QP, CAYMAN, SSPE and TECH, the Funds). As the investment adviser to the Funds, AWM holds sole voting and investment power over. 773,392 shares of Common Stock of the Issuer (the Shares) held by QP, 257,577 Shares held by CAYMAN, 61,696 Shares held by TECH and 376,885 Shares held by TECH II.
- (3) Austin W. Marxe (Marxe), David M. Greenhouse (Greenhouse) and Adam C. Stettner (Stettner) are the controlling principals of AWM. The reporting person disclaims beneficial ownership of the Shares, except to the extent of its pecuniary interest therein. This report shall not be deemed an admission that the reporting person is the beneficial owner of the securities reported herein for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose

Reporting Owners

Paparting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director 10% Owner Office		Officer	Other			
AWM Investment Company, Inc.							

527 MADISON AVENUE SUITE 2600	X	
NEW YORK, NY 10022		
Signatures		
Signatures		

Adam Stettner 6/26/2015 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.