

## OPEXA THERAPEUTICS, INC.

# Reported by RILL DONNA R

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 03/04/15 for the Period Ending 03/02/15

Address 2635 TECHNOLOGY FOREST BLVD.

THE WOODLANDS, TX 77381

Telephone (281) 272-9331

CIK 0001069308

Symbol OPXA

SIC Code 2834 - Pharmaceutical Preparations

Industry Biotechnology & Drugs

Sector Healthcare

Fiscal Year 12/31





[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add	ress of Re	porting	Person *	2	. Is:	suer Nam	ie a	nd Ticker	or Tradii	ng Symb	ol 5. Relation (Check all			Person(s)	to Issuer
Rill Donna R				C	)pe	exa The	era	peutics,	Inc. [ (	)PXA	]				
(Last)	(First)	(Mi	ddle)	3	. D	ate of Ear	lies	t Transact	tion (MM/I	DD/YYYY	<b>X</b> Offic	or eer (give title	e below)	10% O	wner er (specify
C/O OPEXA INC., 2635 TE								3/2/201	15		below) Chief Dev	elopmer	nt Officer		
FOREST BLV		LOG	•												
	(Street)					Amendm DD/YYYY)		, Date Ori	ginal File	ed	6. Individu Applicable Li		nt/Group l	Filing (Che	eck
THE WOODI	LANDS	,, TX 7	77381								V Form f	ilad by Ona	Reporting Pe	waan.	
(City)	(State)	(Zi <sub>J</sub>	0)										han One Rep		n
		Table	I - Non-	Deriv	ati		itie	s Acquire	d, Dispo	sed of, o	r Beneficially	y Owned			
1.Title of Security (Instr. 3)				2. Tra	ans.	2A. Deemed Execution Date, if	n (I	ode Anstr. 8) D (I	Securities cquired (A) sisposed of (anstr. 3, 4 and or mount (D)	or Foll D) (Ins	mount of Securities owing Reported T tr. 3 and 4)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
Tabl	le II - Dei	rivative	Securiti	es Be	ne	ficially O	wn	ed ( <i>e.g.</i> ,	puts, cal	ls, warr	ants, options	, convert	ible secur	rities)	
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans.	3A.	4. Trans. Code		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date				8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction (s) (Instr. 4)	(I) (Instr. 4)	
Employee Stock Option (Right to Buy)	\$0.82	3/2/2015		A		50000		(1)	3/2/2025	Common Stock	50000	\$0	50000	D	

#### **Explanation of Responses:**

(1) 25% vests on the one-year anniversary of the date of grant and the balance vests quarterly over the remaining three years.

Reporting Owners

Reporting Owners								
Paparting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Rill Donna R C/O OPEXA THERAPEUTICS, INC. 2635 TECHNOLOGY FOREST BLVD.			Chief Development Officer					
THE WOODLANDS,, TX 77381								

#### **Signatures**

/s/ Donna R Rill

3/4/2015

<sup>\*\*</sup> Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.