

# SAFEGUARD SCIENTIFICS INC

# Reported by **DOBSON JULIE A**

## FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

## Filed 05/28/13 for the Period Ending 05/23/13

Address 435 DEVON PARK DR

**BLDG 800** 

**WAYNE**, PA 19087

Telephone 6102930600

CIK 0000086115

Symbol SFE

SIC Code 6799 - Investors, Not Elsewhere Classified

Industry Misc. Financial Services

Sector Financial

Fiscal Year 12/31



Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
DOBSON JULIE A					SAFEGUARD SCIENTIFICS INC [ SFE ]							X Director 10% Owner				
(Last)	(First)	(Mid	dle)	3.	3. Date of Earliest Transaction (MM/DD/YYYY)				) Office below)	Officer (give title below) Other (specify below)						
435 DEVON PARK DRIVE, BUILDING 800					5/23/2013											
(Street)					4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)				
WAYNE, PA 19087-1945 (City) (State) (Zip)					5/24/2013							_ X _ Form filed by One Reporting Person _ Form filed by More than One Reporting Person				
		Table I	- Non-I	Deriv	ativ	e Secu	ritie	s Acquire	ed, Dispo	sed of, o	r Beneficiall	y Owned	•			
1			2. Tra Date	ins.	2A. Deemed Executi Date, if any	d Co	ode Anstr. 8)	Acquired (A) Disposed of (Instr. 3, 4 an  (A) or Amount (D)	or Foll (Ins d 5)	mount of Securiti owing Reported T tr. 3 and 4)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
Tab	le II - Dei	rivative	Securitie	es Be	nefi	cially	Own			ls, warr	ants, options	, convert	ible secur	rities)		
1. Title of Derivate Security (Instr. 3)	rity Conversion Date Deemed Execution Date, if		4. Trans Code (Instr 8)	S A	erivative ecurities cquired (A) or isposed of (D) nstr. 3, 4 and		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	of derivative Securities Beneficially Owned Following Reported	Form of Derivative Security: Direct (D) or Indirect (I) (Instr.	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction (s) (Instr. 4)	(4)		
Deferred Stock Unit Award 2013	(1)	5/23/2013		A	2	500 (2)		(1)	(1)	Common Stock	2500	\$0	5000	D		
Stock Option (right to buy)	\$15.845	5/23/2013		<b>J</b> (2)			5000	5/23/2014	5/23/2021	Common Stock	5000	\$0	0	D		

#### **Explanation of Responses:**

- (1) The deferred stock units are payable in stock, on a one-for-one basis. The deferred stock units vest on the first anniversary of the grant date. Distributions in respect of the deferred stock units will be made following termination of service as a director at times established in accordance with the terms of the applicable equity compensation plan of the Issuer.
- (2) This amended Form 4 is being filed solely to correct an inadvertent reporting error in the form of derivative security awarded as the annual service grant to directors. The Reporting Person originally reported an annual service grant of deferred stock units and stock options. This amended Form 4 corrects that original Form 4 to report solely the aggregate grant of 5,000 deferred stock units as shown in Table II.

#### **Reporting Owners**

Paparting Owner Name / Address		ips			
Reporting Owner Name / Address	Director 10% Owner Office		Officer	Other	
DOBSON JULIE A 435 DEVON PARK DRIVE, BUILDING 800	X				

By: Deirdre Blackburn Agent For: Julie A. Dobson	5/28/2013 Date		
Signatures			
WAYNE, PA 19087-1945			

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.